Time to increase WHO clinical stage of people living with HIV in public health facilities of Arba Minch town, south Ethiopia

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Abstract: Background: Highly Active Antiretroviral Therapy improves time to death and recurrence of any opportunistic infections in peoples living with HIV. But little is known about its effect on time to increase WHO clinical stage. Thus, this study was aimed at assessing time to increase WHO clinical stage and associated factors. Method: Retrospective cohort study was used and the required sample size was 464. Study participants were selected randomly from the list of adult people living with HIV attending the public health facilities for ART. Univariate analysis was used to describe patients’ baseline and follow up characteristics. Kaplan-Meier survival and log rank test were used to estimate survival and compare survival curves respectively. Cox proportional-hazard regression model was used to calculate hazard rate and to determine independent predictors of time to increase WHO clinical stage. Result: A total of 464 patients (232 in each cohort) contribute for 898.12 person years of follow up. The overall incidence rate of increased WHO clinical stage was 16.6 per 100 person years of follow up and the incidence of increased WHO clinical stage was 38.5 per 100 person year and 3.8 per 100 person year of follow up in ART and HAART cohorts respectively. Being on Highly Active Antiretroviral Therapy and taking prophylaxis at baseline were the identified predictors’ that prevent increment of WHO clinical stage. In contrary being widowed and single marital status, substance use, rural residence and being in age category 45 years and above were independent predictors for increment of WHO clinical stage. Conclusion: Increment of WHO clinical stage was higher in pre ART cohorts. Special consideration should be given for those who are widowed, substance users and aged 45 years and above.

Keywords: PLWHIV, WHO Clinical Stage, Increase, Public Health Facility

1. Introduction

According to the latest United Nation’s Agency for International Development (UNAID) estimate, about 34 million people were living with human immunodeficiency virus (HIV) in 2011 [1, 2]. Globally, the annual number of people dying from acquired immunodeficiency syndrome (AIDS)-related causes was 1.7 million in 2011 [3].

According to 2011 Ethiopian demographic health survey, the overall national adult (age 15-49 years) HIV prevalence was 1.5% (1% among males and 1.9% among females) and 0.9% for SNNPR [4]. Introduction of combination antiretroviral therapy in 1996 has led to dramatic reductions in morbidity and mortality from HIV/AIDS [1, 2]. In Ethiopia, the fee based and universal free access antiretroviral (ARV) treatment was started in 2002/3 and 2004/5 respectively [5, 6].

The WHO clinical staging system for HIV/AIDS was developed in 1990 and revised in 2007. It uses clinical parameters to classify subjects into any one of four categories i.e. stage 1 to IV, progressing from primary HIV infection to advanced HIV/AIDS. It is these categories that are used to guide decision making for the management of
HIV/AIDS patients where there is limited access to laboratory services [7]. These clinical guidelines are readily available, convenient to the patient, cheap and can be applied by trained clinicians even in the most remote health facilities.

2. Methods and Materials

2.1. Study Area and Study Design

Arba Minch town has one General hospital and one public health center, which provide ART service. There were 4759 adult PLWHIV in Arba Minch Hospital and Health center on chronic HIV care of these 2143 were between November 27, 2009 and January 24, 2014. Retrospective cohort study design was used to assess time to increase WHO clinical stage of PLWHIV.

2.2. Source and Study Populations

All 15 years old and above PLWHIV having chronic HIV care or on ART follow up in Public Health facilities of Arba Minch town providing ART service were the source populations. All 15 years old and above PLWHIV having chronic HIV care or on ART follow up in Public Health facilities of Arba Minch town providing ART service from November 27, 2009 to January 24, 2014 were study populations.

2.3. Sample Size Determination and Sampling Procedure

The sample size was calculated based on the assumption of 95% confidence interval and 80% power for two population proportion formula with one to one ratio for HAART and pre- ART PLWHIV using the proportions of OIs, 74.2% for Pre ART and 25.8% HAART PLWHIV respectively [10]. Epi info version 3.5.1 is used to calculate the sample size.

\[
\frac{Z_{\alpha/2}^2 \cdot \left(\hat{p}_1(1 - \hat{p}_1) + \hat{p}_2(1 - \hat{p}_2) + \hat{p}_1 - \hat{p}_2\right)}{r_1 - r_2} \geq 4
\]

Where:

- \( n_1 \) = number of HIV infected patients who are Pre ART (non exposed)
- \( n_2 \) = number of HIV infected patients who are on ART (Exposed)
- \( r \) = the ratio of exposed to non exposed HIV infected patients = 1
- \( \hat{p}_1 \) = proportion of OIs in HAART receiving HIV infected patients
- \( \hat{p}_2 \) = proportion of OIs in Pre ART HIV infected patients
- \( Z_{\alpha/2} \) = 1.96 at 95% confidence interval

Accordingly, the calculated sample size was 422 (211 for HAART and Pre ART); after adding 10% contingency the total sample size was 464, 232 patients for each cohort

2.4. Sampling Procedures

Figure 1. Schematic presentation of sampling procedure of PLWHIV enrolled for cohort between 27th November, 2009 and 24th January, 2014 in public Health facilities of Arba Minch town, Southern Ethiopia, 2014

2.5. Data Collection Tools, Procedures and Quality Control

Data collection format was developed from federal ministry of health HIV care/ART follow up form which is used in the ART clinic and also the patients’ card; the format includes the following check list:

- socio-demographic characteristics (sex, age, residence, marital status, occupational status, educational status);
- ART and chemoprophylaxis information (ARV drug treatment, drug adherence, line of treatment regimen, prophylaxis treatment and its adherence);
- laboratory information (CD4 count, hemoglobin value);
- Clinical information (WHO clinical staging, weight, height); and
- Functional status of patients (working, ambulatory, bedridden).

The data was collected by reviewing HIV care/ART follow up form, laboratory requests and patients’ card. Clients with WHO clinical stage increased was confirmed by reviewing patients HIV care/ART follow-up form or patient card. Individuals who change from unexposed to exposed, drop-out/loss to follow-up/transfered out/dead by any disease without increased WHO clinical stage at the end of the study period were censored. The outcome of each subject was dichotomized into censored or increase WHO clinical stage.
2.6. Data Quality Management, Processing and Analysis

To ensure the quality of data, data collectors were trained nurses, recruited from ART clinic on method of extracting the needed data from patient’s records and filling on data collection format. On the days of data collection, the principal investigator and the supervisor supervises the data collection process by checking completeness of the data. Three data collectors and one supervisor who had direct experience and working on ART clinic was recruited for data collection and supervision respectively. Data collectors and supervisor was trained on objectives of the study, selection of exposed and unexposed, how to keep confidentiality of information, the contents of the questionnaire and data quality management by the principal investigator. The data abstraction form was checked for completeness and consistency by the principal investigator before data entry. Completed data abstraction format was coded by numbers and entered in computer software EPI info version 3.5.1 statistical package and exported to SPSS version 16 and STATA version 11 for analysis. Cross-checking and data cleaning was done for accuracy and consistencies carried out by running frequencies of each variable by principal investigator. The risk of developing OIs among patients with retrospective follow up in Pre ART or HAART cohort was assessed using the person-year method. Incidence rate was calculated as number of cases per 100 person years observed. Before running the Cox regression model assumption of proportional-hazard was checked by Schoenfeld residual and log minus log plots. Cox proportional hazards model was used to assess predictors of increase WHO clinical stage, the Kaplan-Meier technique and the generalized log-rank test were used to construct and compare the WHO clinical stage increment free survival probabilities curves of the two groups.

2.7. Study Variables

2.7.1. Dependent Variable
- WHO clinical stage increment

2.7.2. Independent Variable
- Exposure variable: HAART status (HAART initiation or not)
- Socio demographic (Age, sex, education status, marital status, occupation status)
- Clinical and other variables ( weight, CD4+ count, prophylaxis, Hemoglobin level, adherence level for HAART)

2.8. Operational Definition

Increase WHO clinical stage: PLWHIV who had clinical stage higher than the baseline clinical stage
Pre ART PLHIV: The person whose HIV positive status confirmed but not eligible for ART;
HAART PLWHIV: The person whose HIV positive status confirmed and started ART;
Survival: The person without increased WHO clinical stage
Censored: No WHO clinical stage increment in study participant during follow-up study but not sure for future increment;
Drop out: If a PLWHIV on HIV care lost to follow up for more than three months as recorded by ART clinic personnel;
Lost to follow up: If PLWHIV on HIV care not seen for equal to or more than one month as recorded by ART clinic personnel;
Transferred out: If PLWHIV on HIV care in one health institution shift to other health institution;

2.9. Ethical Considerations

Ethical clearance was obtained from the Ethical Review Committee of ACIPH before conducting the study. Arba Minch Hospital and Health center was informed about the objective of the study through a support letter from Arba Minch University, department of Public Health and permission was obtained from the Hospital and Health center administrations before starting data collection. To keep the confidentiality personal identifiers were not included in the data abstraction format.

3. Result

![Survival Functions](image)

Figure 2. Kaplan-Meier estimate of WHO clinical stage increment free survival with and without ART, in public Health facilities of Arba Minch town, Ethiopia, 2014
In this retrospective cohort study there were 464 participants each consisting 232 were followed for a total of 757.53 person years and median of 1.23 person year. The WHO clinical stage increment free survival person year follow up was 476.82 and 280.71 in HAART and Pre ART cohorts respectively.

### 3.1. Socio-Demographic Characteristics

In this study, 297 (64%) females and 167 (36%) males were participated. More than one third (38.1%) of the participants were in the age range between 25 and 34 years with median age of 30 (IQR= 24-37). Most of the study participants were urban by residence 365(78.7), married 245(52.8) and employed 293(63.1).

### 3.2. Clinical and Laboratory Characteristics

At base line, most of the study participants 436 (94%) and 416(89.7%) had working functional status at base line and follow up respectively. Two hundred seventy nine (60.1%) of the participants were taken prophylaxis at baseline and around 28% of the participants had CD4 count between 200-350 cells/micro liter both at baseline and follow up. Half of the study participants were taking HAART at baseline and follow up. During follow up 126(27.2%) had increased WHO clinical stage, 31(6.7%) lost to follow up/drop out, 22(4.7%) transfers out, 9(1.9) deaths and 276(59.5%) HIV positive people were censored.

#### Table 1.
The actuarial life table estimation of cumulative incidence of increased WHO clinical stage in PLWHIV in public Health facilities of Arba Minch town, between November 27, 2009 and January 24, 2014

<table>
<thead>
<tr>
<th>ART initiated</th>
<th>Interval Start Time</th>
<th>Number Entering Interval</th>
<th>Number Withdrawing during Interval</th>
<th>Number of Terminal Events</th>
<th>Cumulative Proportion Surviving at End of Interval</th>
<th>Hazard Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>[0-1)</td>
<td>232</td>
<td>75</td>
<td>9</td>
<td>.95</td>
<td>.05</td>
</tr>
<tr>
<td></td>
<td>[1-2)</td>
<td>148</td>
<td>41</td>
<td>2</td>
<td>.94</td>
<td>.02</td>
</tr>
<tr>
<td></td>
<td>[2-3)</td>
<td>105</td>
<td>30</td>
<td>4</td>
<td>.90</td>
<td>.05</td>
</tr>
<tr>
<td></td>
<td>[3-4)</td>
<td>71</td>
<td>36</td>
<td>2</td>
<td>.86</td>
<td>.04</td>
</tr>
<tr>
<td></td>
<td>[4-5)</td>
<td>33</td>
<td>27</td>
<td>1</td>
<td>.82</td>
<td>.05</td>
</tr>
<tr>
<td></td>
<td>[0-1)</td>
<td>232</td>
<td>83</td>
<td>51</td>
<td>.73</td>
<td>.31</td>
</tr>
<tr>
<td></td>
<td>[1-2)</td>
<td>98</td>
<td>33</td>
<td>14</td>
<td>.61</td>
<td>.19</td>
</tr>
<tr>
<td></td>
<td>[2-3)</td>
<td>51</td>
<td>5</td>
<td>20</td>
<td>.36</td>
<td>.52</td>
</tr>
<tr>
<td></td>
<td>[3-4)</td>
<td>26</td>
<td>3</td>
<td>16</td>
<td>.12</td>
<td>.97</td>
</tr>
<tr>
<td></td>
<td>[4-5)</td>
<td>7</td>
<td>0</td>
<td>7</td>
<td>.00</td>
<td>.99</td>
</tr>
<tr>
<td>No</td>
<td>[0-1)</td>
<td>232</td>
<td>83</td>
<td>51</td>
<td>.73</td>
<td>.31</td>
</tr>
<tr>
<td></td>
<td>[1-2)</td>
<td>98</td>
<td>33</td>
<td>14</td>
<td>.61</td>
<td>.19</td>
</tr>
<tr>
<td></td>
<td>[2-3)</td>
<td>51</td>
<td>5</td>
<td>20</td>
<td>.36</td>
<td>.52</td>
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<tr>
<td></td>
<td>[3-4)</td>
<td>26</td>
<td>3</td>
<td>16</td>
<td>.12</td>
<td>.97</td>
</tr>
<tr>
<td></td>
<td>[4-5)</td>
<td>7</td>
<td>0</td>
<td>7</td>
<td>.00</td>
<td>.99</td>
</tr>
</tbody>
</table>

#### Table 2.
Bivariate and multivariate Cox proportional hazard analysis for Predictors of increased WHO clinical stage among HIV infected patients in public Health facilities of Arba Minch town, 2014

<table>
<thead>
<tr>
<th>Covariates</th>
<th>No at risk</th>
<th>NO increased WHO clinical stage</th>
<th>CHR(95% CI)</th>
<th>AHR(95% CI)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>HAART Status</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HAART</td>
<td>232</td>
<td>18</td>
<td>0.07(0.04, 0.13)</td>
<td>0.03(0.01, 0.06)</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>Pre-ART</td>
<td>232</td>
<td>108</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>167</td>
<td>71</td>
<td>2.57(1.80,3.66)</td>
<td>1.30(0.84, 2.01)</td>
<td>0.24</td>
</tr>
<tr>
<td>Female</td>
<td>297</td>
<td>55</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Marital status</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Married</td>
<td>245</td>
<td>63</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Single</td>
<td>58</td>
<td>28</td>
<td>2.68(1.69,4.25)</td>
<td>7.42(3.50,15.70)</td>
<td>0.001*</td>
</tr>
<tr>
<td>Divorced</td>
<td>81</td>
<td>10</td>
<td>0.84(0.43,1.63)</td>
<td>1.98(0.94,4.19)</td>
<td>0.073</td>
</tr>
<tr>
<td>Widowed</td>
<td>80</td>
<td>25</td>
<td>1.42(0.89,2.27)</td>
<td>9.03(4.84,16.83)</td>
<td>0.001*</td>
</tr>
<tr>
<td>Age category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15-24</td>
<td>121</td>
<td>29</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>25-34</td>
<td>177</td>
<td>56</td>
<td>0.60(0.38, 0.95)</td>
<td>1.96(0.96,4.01)</td>
<td>0.067</td>
</tr>
<tr>
<td>35-44</td>
<td>121</td>
<td>34</td>
<td>0.48(0.29,0.81)</td>
<td>1.37(0.61,3.08)</td>
<td>0.453</td>
</tr>
<tr>
<td>&gt;=45</td>
<td>45</td>
<td>7</td>
<td>0.29(0.13,0.66)</td>
<td>3.05(1.02,9.10)</td>
<td>0.045*</td>
</tr>
<tr>
<td>Supportive care</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Present</td>
<td>166</td>
<td>63</td>
<td>0.30(0.21, 0.44)</td>
<td>0.88(0.57,1.37)</td>
<td>0.573</td>
</tr>
<tr>
<td>Absent</td>
<td>298</td>
<td>63</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Prophylaxis given</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>279</td>
<td>55</td>
<td>0.37(0.26,0.53)</td>
<td>0.39(0.23,0.65)</td>
<td>&lt; 0.001*</td>
</tr>
<tr>
<td>No</td>
<td>185</td>
<td>71</td>
<td>1</td>
<td>1</td>
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<tr>
<td>Address</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Urban</td>
<td>365</td>
<td>77</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Rural</td>
<td>99</td>
<td>49</td>
<td>2.28(1.58,3.28)</td>
<td>1.87(1.08,3.23)</td>
<td>0.025*</td>
</tr>
<tr>
<td>Substance use</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>82</td>
<td>35</td>
<td>3.58(2.39,5.34)</td>
<td>3.99(2.37,6.73)</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>No</td>
<td>382</td>
<td>91</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>

* = statistically significant
The Kaplan Meier curve showed that taking HAART increased WHO clinical stage increment free survival with log rank P-value < 0.001 than the pre ART cohort.

3.3. Predictors of OIs Incidence

To identify independent predictors of increased WHO clinical stage, a multivariate Cox-Proportional hazard adjusted model was fitted with the variables having a P-value less than 0.2 in the bivariate analysis. (See table 2)

4. Discussion

In this retrospective cohort study, more than one fourth of the participants had increased WHO clinical stage. The proportion of increased WHO clinical stage was significantly different (p<0.001) between Pre-ART and HAART PLWHIV.

The overall incidence of increased WHO clinical stage was 16.6 per 100 PY. It was higher compared to the results from South Africa 8.52/100 PY and lower from Côte d’Ivoire 36.8 /PY. These could be explained as a result of the study in South Africa considers only severe HIV related illness so which might have underestimation of the overall morbidity density rate or increased WHO clinical stage. The result from Côte d’Ivoire shows higher rate of opportunistic infections because of the study includes a wide range of bacterial disease which were not included in this study so which might have overestimated the incidence density rate (22, 23).

The incidence of increased WHO clinical stage showed a decline from 38.5/100 PY (95%CI: 31.7- 46.3) in those Pre ART patients to 3.8/100 PY (95%CI: 2.31-5.85) in those using HAART. Which is lower than a study conducted in Brazil 113.73/100 PY (95%CI: 102.24-126.46) in those patients not using HAART to 41.30/100 using HAART. This is due to the study conducted in Brazil includes children which may have lower immunity and leads to increased WHO clinical stage and but the current study includes only adults.

In the present study, the introduction of HAART is associated with a dramatic effect in the overall reduction of incidence rates of increased WHO clinical stage in PLWHIV which is supported by different studies [10, 14, 15, 16 and 17]. A study in Côte d'Ivoire shows Patients who had been on HAART for >6 months were 91% (RR 0.09, 95% CI 0.04–0.19) less likely to die than patients followed on cotrimoxazole alone (14). Taking Prophylaxis decreased increment of WHO clinical stage by 61%. This is in line with a study in South Africa (19).

In analysis of predictor variable patient with widowed and single marital status was nearly two times at higher risk of developing increased WHO clinical stage than patients with married marital status. This is possibly because of having supporter for timely taking of medication as directed. Male gender was associated with higher incidence of increased WHO clinical stage with p<0.001 which is in congruent with a study in resource limited settings.

Being substance user was nearly four times higher risk than non users. This may be due to substance use may cause to take subscribed medications untimely. Rural residence increased occurrence of increased WHO clinical stage nearly two times than being urban residence. This may be due to the fact that urban residence enables for easy access of information how to prevent occurrence of diseases and treatment purpose.

5. Limitations and Strengths of the Study

Strength- Since we used trained data collectors these decreased the occurrence of misclassification bias

Limitation- Since the study is based on secondary data, some predictor variables are not completely registered and not consistent in chronic care follow up chart and medical registration. Misclassification bias may also be introduced

6. Conclusions

The overall incidence rate of increased WHO clinical stage was 16.6 per 100 PY. The incidence of increased WHO clinical stage showed a decline from 38.5/100 PY in those patients not using HAART to 3.8/100 PY in those using HAART. Independent significant predictors that increase occurrence (risk factors) and improve survival (preventive factors) were identified. Those risk factors were being widowed and single than married, substance use, rural residence and age 45 years and above; however, the preventive factors were taking HAART and prophylaxis.

Recommendations

To Hospitals and Health Centers with ART Clinic (Giving HIV Care and Support)

- Special consideration should focus on high risk groups such as widowed and substance users and in the elderly especially in those 45 years and above.

To Research and Academic Institutions

- Further observational studies with prospective design to ascertain the findings are recommended.

Abbreviations

ART-Anti Retroviral Therapy, HAART-Highly Active Anti Retroviral Therapy, WHO-World Health Organization

Acknowledgment

We would like to acknowledge Arba-Minch University for granting the fund to undertake this study. We are also
thankful to the heads of health facilities where the study is conducted.

**Authors’ Contributions**

DMA was investigator, involved in proposal writing, designing, and recruitment and training of supervisors and data collectors, analysis and write-up and in all stages of the project implementation. MTM contributed in the designing of the methodology, write-up and involved in the final approval of the paper. HMB contributed in the designing of the methodology, write-up and involved in the final approval of the paper. All authors read and approved the final manuscript. EAG contributed in the designing of the methodology, write-up and involved in the final approval of the paper. All authors read and approved the final manuscript.

**References**