Fixed Time and Fixed Angle External Fixation in the Treatment of Gartland Type III Supracondylar Humeral Fractures in Children

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Abstract: Objective: To assess the efficacy of open reduction internal fixation (ORIF) combined with fixed-time and fixed-angle external fixation (FTFAEF) in the treatment of Gartland type III supracondylar humeral fracture (SHF) in children. Methods: Clinical data of 172 children with Gartland type III SHF from March 2012 to December 2017 were prospectively analyzed. All 172 patients were initially treated with ORIF, then 86 underwent FTFAEF for 3 weeks post-surgery (intervention group) while the remaining 86 received conventional plaster external fixation (70°-90°) for 3 weeks post-surgery (control group). The plaster casts were removed from both groups after the 3-week fixation period and functional exercise was initiated. Regular clinical and radiologic follow-ups were conducted on all patients. Range of motion (ROM) measurements and modified Hospital for Special Surgery (HSS) elbow function assessments were performed at 1 and 3 months post-surgery. Results: At 1 month post-surgery, both ROM and modified HSS scores were significantly higher in the intervention group (85.8±6.1° and 65.2±3.6°, respectively) than in the control group (62.3±5.2° and 56.6±2.1°, respectively) (both P<0.05). After 3 months, both ROM and modified HSS scores were still significantly higher in the intervention group (132.0±4.7° and 98.5±1.3°, respectively) than in the control group (107.5±24.4° and 85.0±10.3°, respectively) (both P<0.05). Furthermore, the percentage of patients scoring excellent on the HSS scale was significantly higher in the intervention group (100%) than in the control group (74.42%) (P<0.05). Conclusions: ORIF combined with FTFAEF is an efficacious approach that should be widely promoted for the treatment of Gartland type III SHF in children.

Keywords: Supracondylar Humeral Fracture, Children, Open Reduction Internal Fixation, Dysfunction, External Fixation

1. Introduction

Supracondylar humerus fractures (SHF) are common elbow fractures in children, accounting for 30% - 40% of elbow fractures. In some of these severe fractures, such as Gartland type III supracondylar humerus fractures, the clinical symptoms are large displacement of the fracture end, unstable fracture end, and severe soft tissue injury around a joint, which can cause elbow-joint dysfunction if not handled properly. Therefore, such fractures require internal fixation for treatment. After the operation of the supracondylar humerus fracture, the elbow is usually immobilized by

plaster cast at a flexion angle of 70° to 90° for three to four weeks. Cast immobilization at a fixed angle for a long time can cause elbow-joint dysfunction. The longer the plastercast remains, the more pronounced the elbow-joint dysfunction. Elbow organized hematoma and soft tissue contracture are the major causes of elbow-joint dysfunction following an operation of the supracondylar humerus fracture. The characteristics of soft tissue are elasticity and plasticity. In the process of repairing the elbow injury, the stress generated during the extending and bending of the elbow joint can

lengthen the soft tissue around the joint. When the stress is removed, the lengthened soft tissue can return to the original length, due to the elastic deformation of the soft tissue. Sometimes, when the stress is removed, the lengthened soft tissue can maintain a lengthened condition, known as the plastic deformation of the soft tissue. Whether the soft tissue presents elastic deformation or plastic deformation depends on the magnitude and duration of the stress. In order to ensure the stability of the fracture end, improper extending and bending of the elbow joint is prohibited after the operation. However, the single angle of immobilization increases the risk of elbow-joint dysfunction. To address this problem, our hospital applied open reduction internal fixation (ORIF) surgery combined with fixed-time and fixed-angle external fixation (FTFAEF) to treat Gartland type III supracondylar humerus fractures in children from March 2012 to December 2017 and obtained promising results.

2. Materials and Methods

2.1. General Information

Inclusion criteria: 1) Aged 3.5-12 years, normal intelligence with a certain level of linguistic competence; 2) Closed Gartland type III SFH; 3) Absence of significant vascular nerve injury in the affected arm; 4) Absence of head injury or brain disease; 5) Surgery performed 3d-7d after injury; 6) Patients available for follow-up after at least 3 months.

Patients who met the inclusion criteria were randomly assigned into an intervention (n=86) or control group (n=86). Intervention group: 56 males, 30 females, 8.4 ± 2.1 years of age, with 4.8 ± 1.5 d between injury and surgery. Control group: 50 males, 36 females, 7.9 ± 2.6 years of age, with 5.1 ± 1.7 d between injury and surgery. There were no significant differences in patient age and time between injury and surgery between the two groups ($P > 0.05$). This study was approved by the Ethics Committee of the hospital. All patients and their families provided informed consent.

2.2. Materials

Functional plaster cast: An orthopedic splint was cut into two segments based on the lengths of the patient's upper arm and forearm. Metal hinge plates were inserted into one end of both splints and connected to form a hinge joint. The splints were tied onto both the patient's upper arm and forearm, molded to the shape of the arm and allowed to harden.

2.3. Treatment

2.3.1. Surgery

Patients were placed in a supine position under general anesthesia or a brachial plexus block. After the entire arm was disinfected and covered with a surgical drape, closed reduction of the fracture was firstly performed [1, 2]. If this procedure failed, a lateral approach was used. Briefly, a 2-4cm incision was created lateral to the distal humerus, and the tissues between the biceps and triceps were incised and separated until the fractured ends of the bone were exposed. After limited peeling of the periosteum and removal of the soft tissues and blood clots at the ends of the fracture, the bones were realigned and fixed by inserting two 1.5mm- or 2.0mm-diameter Kirschner needles (K-needles) through the lateral and medial epicondyles until 2.0mm of the needle has pierced through the cortical bone of the proximal humerus. The patient's elbow was moved to confirm joint movement, and if satisfactory, the distal ends of the K-needles were bent, cut then embedded subcutaneously [3-5]. After the surgical site had been rinsed with hydrogen peroxide and normal saline, a silica gel drainage strip was inserted and the tissues closed layer by layer. The surgery was completed by wrapping the elbow with sterile gauze.

2.3.2. Plaster External Fixation

Patients in the intervention group received plaster external fixation with the elbow at maximum extension immediately following surgery. Starting on day 1 post-surgery, the functional cast was adjusted daily to the maximum tolerable elbow flexion at 10am and then to the maximum tolerable elbow extension at 10pm for a duration of 3 weeks. Adjustment of the cast was performed by medical staff during hospitalization, and the procedure explained to the patients' families in order that the adjustments were performed at the appropriate time and angle after patient discharge. Patients in the control group were provided plaster fixation at an elbow flexion of 70-90° post-surgery. Casts were removed from both groups at 3 weeks post-surgery and functional exercises initiated.

2.4. Follow-up and Assessment

Patients were followed up regularly by X-ray examination to assess bone healing and potential complications. Range of motion (ROM) measurements and modified Hospital for Special Surgery (HSS) elbow functional assessments were conducted at 1 and 3 months post-surgery. Images of a patient in each group are shown in Figures 1 and 2.

Figure 1. A patient in the intervention group diagnosed with left Gartland type III SHF. X-ray images of patient's elbow before (A and B) and after surgery (C and D). Patient was given post-surgery FTFAEF (E and F). Elbow function was assessed at 2 months post-surgery (G and H).

Figure 2. A patient in the control group diagnosed with left Gartland type III SHF. X-ray images of patient's elbow before (a and b) and after surgery (c and d). The patient was given conventional post-surgery plaster external fixation (e). Elbow function was assessed at 4 months post-surgery (f and g).

2.5. Statistical Analysis

Data were analyzed using SPSS version 16.0 software. Measured data, such as age, duration of bone healing or total HSS score were expressed as mean $\pm$ SD ($\bar{X}\pm S$)

and compared between the two groups using a two-sample t-test. Count data, such as complication and excellent HSS score rates, were compared between the two groups using a chi-square (X^2) test. $P<0.05$ was considered statistically significant.

3. Results

Both intervention and control groups were followed up for a mean of 3.6 months (3-4 months). There was no significant difference in the duration of bone healing between the groups (7.6 ± 1.5 versus 7.5 ± 1.7 weeks for the intervention and control groups, respectively, $P>0.05$). No incidence of K-needle loosening or atopic ossification was observed in any patient. At 1 month post-surgery, ROM and modified HSS

scores were significantly higher in the intervention group ($85.8 \pm 6.1^\circ$ and $65.2 \pm 3.6^\circ$, respectively) than in the control group ($62.3 \pm 5.2^\circ$ and $56.6 \pm 2.1^\circ$, respectively) (both $P < 0.05$; Tables 1 and 2). At 3 months post-surgery, ROM and modified HSS scores were again significantly higher in the

intervention group ($132.0 \pm 4.7^\circ$ and $98.5 \pm 1.3^\circ$, respectively) than in the control group ($107.5 \pm 24.4^\circ$ and $85.0 \pm 10.3^\circ$, respectively) (both $P < 0.05$; Tables 3 and 4). Furthermore, excellence rate was significantly higher in the intervention group (100%) than in the control group (74.42%) ($P < 0.05$).

Table 1. Comparison of ROM measurements between the intervention and control groups 1 month post-surgery ($\bar{X} \pm S$).

Group	Patients Number(n)	Extension contracture($^\circ$)	Flexion contracture($^\circ$)	ROM($^\circ$)
Intervention	86	23.1 ± 3.5	25.9 ± 3.0	85.8 ± 6.1
Control	86	36.5 ± 1.9	36.9 ± 3.8	62.3 ± 5.2
T value		-39.745	-23.238	32.342
P value		0.000	0.000	0.009

Table 2. Comparison of modified HSS scores of the intervention and control groups 1 month post-surgery.

Group	Patients Number(n)	Total score	Excellent (n)	Good (n)	Average (n)	Bad (n)	Poor (n)	Excellence rate (%)
1	86	65.2 ± 3.6	0	0	18	68	0	0
2	86	56.6 ± 2.1	0	0	6	80	0	0
3		$t=24.299$						$X^2=20.104$
P								0.000

1: Intervention group; 2: control group; 3: statistics

Table 3. Comparison of ROM measurements between the intervention and control groups 3 months post-surgery ($\bar{X} \pm S$).

Group	Patients Number(n)	Extension contracture($^\circ$)	Flexion contracture($^\circ$)	ROM($^\circ$)
Intervention	86	1.7 ± 2.4 13.8 ± 15.8	1.7 ± 3.0	132.0 ± 4.7
Control	86	7.061	11.1 ± 4.4 -17.575	107.5 ± 24.4
T value		0.001	0.001	9.253
P value				0.000

Table 4. Comparison of modified HSS scores between the intervention and control.

Group	Patients Number(n)	Total score	Excellent (n)	Good (n)	Average (n)	Bad (n)	Poor (n)	Excellence rate (%)
1	86	98.5 ± 1.3	86	0	0	0	0	100
2	86	85.0 ± 10.3	30	34	18	1	3	74.42
3		$t=12.146$						$X^2=25.227$
P								0.000

4. Discussion

SHFs occur at the boundary of the humeral shaft and condyle. The Gartland classification system categorizes SHF into 3 categories based on severity of displacement. Specifically, type I SHF is not displaced; type II is angulated with the posterior cortex intact; type III is completely displaced with no cortical contact[6,7]. Bone displacement in Gartland type III SHF is difficult to correct by manipulative reduction as the realigned fractured ends have minimal contact and poor stability, making splint and plaster external fixation extremely difficult. As a result, bone displacement may reoccur and lead to deformed humeral healing. Therefore, surgery is generally used in the treatment of Gartland type III SHF[8-10]. As closed reduction with percutaneous fixation has become more popular, many children with Gartland type III SHF have achieved satisfactory bone realignment and relatively stable fixation. However, ORIF was still required for a small number of patients in these large case studies. In fact, open reduction and crossed K-needle fixation is still the primary treatment for children with Gartland type III SHF in many county hospitals. This approach involves an incision lateral to the

humeral and crossed K-needle internal fixation, which not only avoids injury to the triceps but also maintains integrity of the elbow extension device. Furthermore, this method allows direct view of the reduction and complete post-surgery drainage, with reduced risk of atopic ossification. Fixation is achieved by insertion of the K-needles from both sides of the proximal end towards the cortical bone of the opposite end. The crossing technique can provide good stability to the fractured ends and reduce surgical injury to a minimum.

Elbow dysfunction is a common complication of SHF and is primarily caused by soft tissue damage [9-13]. Elbow injury leads to local hematoma formation, and the biological response to the reaction of the blood can cause the joint capsule to contract[11-14]. In addition, absorption of the blood and exudate often results in scar tissue formation and hyperplasia, and may even lead to elbow stiffness in some serious cases[15-17].

Soft tissue has both elastic and plastic properties [18-20]. Elastic deformation occurs when periarticular soft tissue stretches in response to the stress generated by elbow movement, returning to its original length upon removal of the stress. On the other hand, plastic deformation occurs when stretched soft tissue maintains its stretched length even

after removal of the stress. Whether soft tissues undergo elastic or plastic deformation is dependent on the magnitude and duration of the force.

The anterior and posterior elbow joint capsules are of a length that allows them to fold and expand as the elbow flexes and extends. The anterior joint capsule is maximally expanded when the patient's elbow is fixed at its maximum extension by the functional cast. The tension generated by this movement not only causes elastic deformation of scar tissues within the anterior side of the elbow, countering anterior joint capsule contracture, it also promotes the anterior joint capsule to form a "repair template" on which the scar tissues can be maintained in their most stretched form. Meanwhile, elbow extension causes the posterior joint capsule to adopt its shortest and most folded form. This position can easily lead to contracture of the posterior joint capsule and formation of scar tissue, causing any freshly generated scar tissue around the posterior joint capsule to be in their most contracted form. When the elbow is fixed at maximum extension for a long period of time, the posterior joint capsule contracts and hypertrophic scar tissues eventually reach a steady state such that subsequent flexion creates substantial tension that prevents the elbow from bending. Therefore, once the elbow has been fixed at maximum extension for 12 hours, it should be bent and fixed at maximum flexion to allow the posterior joint capsule to stretch out into its maximally expanded form. This tension causes the scar tissues and contracted posterior joint capsule to undergo elastic deformation that counters the posterior joint capsule contraction. In addition, the posterior joint capsule forms a "repair template" on which the hypertrophic scar tissues can be maintained in their most stretched out form. Similarly, when the elbow is fixed at maximum flexion for a long period of time, the anterior joint capsule contracts and hypertrophic scar tissues eventually reach a steady state, such that subsequent extension can create substantial tension that prevents the elbow from extending. Therefore, once the elbow has been fixed at maximum flexion for 12 hours, it should be extended and fixed at maximum extension to allow the anterior joint capsule and scar tissues to repair in their most stretched out form.

Conventional plaster external fixation for Gartland type III SHF fixes the elbow at 70-90° for 3 weeks post-surgery. When the elbow is fixed in such a manner, both the anterior and posterior joint capsules and the lateral collateral ligaments (LCLs) are in their most relaxed form. Long-term fixation at this position can easily lead to contractures of these components causing elbow dysfunction.

In this study, patients' elbows were fixed at maximum flexion at 10am to facilitate daily activities and then at maximum extension at 10pm to aid sleeping. Twelve hours of sustained stress can promote elastically deformed soft tissues to undergo further plastic deformation, allowing the anterior and posterior humeral soft tissues to reach their maximum lengths at steady state and prevent tension during elbow flexion and extension. We found that 3 weeks of FTFAEF, during which the anterior and posterior joint capsules and

hypertrophic scar tissues undergo repair cycles of "anterior extended posterior contracted" to "anterior contracted posterior extended", resulted in satisfactory elbow activity shortly after surgery and provided a good foundation for subsequent rehabilitation of elbow functions. ROM, modified HSS scores, and the number of excellent ratings at 3 months post-surgery were significantly higher in the intervention group ($132.0 \pm 4.7^\circ$, $98.5 \pm 1.3^\circ$ and 100%, respectively) than in the control group ($107.5 \pm 24.4^\circ$, $85.0 \pm 10.3^\circ$ and 74.42%, respectively) (all $P < 0.05$). Conversely, there was no significant difference in the duration of clinical bone fracture healing between the two groups ($P > 0.05$) and no incidence of K-needle loosening or atopic ossification in either group, demonstrating that FTFAEF does not increase the risk of complications.

5. Conclusion

In conclusion, elbow organized hematoma and soft tissue contracture are the major causes of elbow-joint dysfunction following an operation of the Gartland type III supracondylar humerus fractures.

The characteristics of soft tissue are elasticity and plasticity. Whether the soft tissue presents elastic deformation or plastic deformation depends on the magnitude and duration of the stress. In this study, Twelve hours of sustained stress can promote elastically deformed soft tissues to undergo further plastic deformation, allowing the anterior and posterior humeral soft tissues to reach their maximum lengths at steady state and prevent tension during elbow flexion and extension.

We found that 3 weeks of fixed-time and fixed-angle external fixation resulted in satisfactory elbow activity shortly after surgery and provided a good foundation for subsequent rehabilitation of elbow functions. And FTFAEF does not increase the risk of complications.

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