



Fully Crystallized Silicon Nanostructured Film Prepared at Low Temperatures by Plasma-Enhanced Chemical Vapor Deposition

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Abstract: I studied the nanocrystalline silicon thin films by means of photoluminescent spectroscopy, Raman spectroscopy and Fourier-transformed infrared spectroscopy technique. The chemical bonding properties was studied by using the Fourier-transformed infrared spectroscopy in the range from 500 cm^{-1} to 2300 cm^{-1} . Spectral peak at wave number of 1100 cm^{-1} is related to the Si-O-Si bonding configuration. Hydrogenation of film can be estimated by using spectral lines at 2100 cm^{-1} and 2080 cm^{-1} . The Si-O dipoles which are located into silicon film play great role because of electron affinity for oxygen. Photoluminescent (PL) properties are significant for the films which were made by using hydrogenation of silicon. Fourier-transformed infrared spectra of film's absorption show the changes in chemicals in the film: from the oxygen incorporation into silicon to the elimination the Si-O bonding by adding the silicon tetra fluoride into electrochemical reactor, and increasing the Si-F density of bonds. The dissociation of SiF_4 molecule causes the appearance of fluorine on the film surface, but the hydrogen atoms react with fluorine and excess fluorine is removed. By increase of SiF_4 flow rate, the Si-O-Si bridges were etched by HF acid. The size effect in PL from nanocrystalline silicon film can be explained by means of statistical method. We suggested that the $f(x)$ is distribution function of crystallites in their sizes, $\theta(x)$ is a quantum efficiency of nanocrystals. Accordingly, the quantity of emitted hydrogenized nanocrystals by band-to-band radiative transitions is important to estimate the PL linear optical response. The processing of grown hydrogenated silicon nanocrystalline film by annealing and etching the oxides results in preparation of fully crystallized silicon film for manufacturing the photonic devices with significant quantum yield.

Keywords: Photoluminescent Properties, Raman Spectra, Fourier-Transformed Infrared Spectra, Silicon Nanocrystals, Silicon Film Morphology

1. Introduction

The silicon film technology has the practical significance in electronic device manufacturing, such as thin film transistors, photonic devices, and integration circuits. The problem to eliminate the defects in silicon which change the electrical parameters by lowering of performance is very important. For this purpose the passivation by hydrogen of shallow single donors and acceptors can be done. There are numerous ways: hydrogen plasma processing the silicon surface, and hydrogen ion implantation or hydrogen gas annealing. All of them are to reduce the high defect density because the hydrogen atoms terminated the dangling bonds. In recent decade the hydrocarbon treated silicon surface was

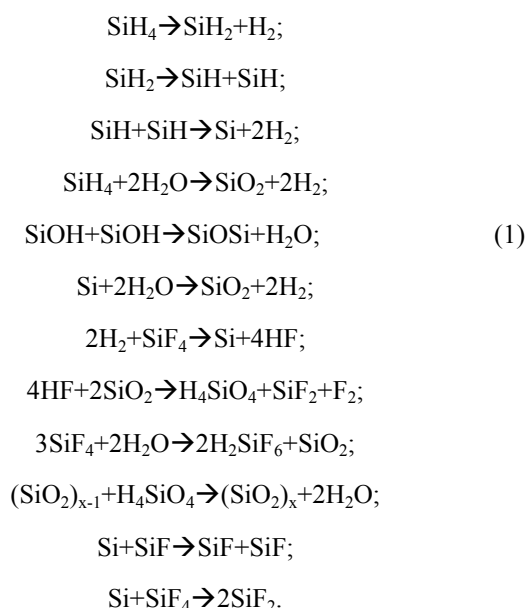
intensively studied [1, 2]. Such exciting exploration is caused by need in removing of oxidized silicon layer from surface for further technological purposes, from one side, and to design the new electronic device with high performance, from another side. The hydrocarbons molecules chemisorbed on the silicon surface cause the alkyl chains production with hydrogenation of surface. The surface diffusion of hydrogen is sufficient even at low temperatures. Because, the high content of hydrogen atoms in hydrocarbon molecules can be transformed into hydrogen surface coverage. Accordingly, such various improvements in thin film transistor (TFT) technology can be caused to increase in $I_{\text{on}}/I_{\text{off}}$ ratio. By using plasma-enhanced chemical vapor deposition (PECVD) method for the film preparation at temperature of deposition

around [3] 400-500 °C the following reactions can be occurred on surface: $2\text{SiH}_2 \rightarrow \text{Si}_2\text{H}_2 + \text{H}_2$; $\text{Si}_2\text{H}_2 \rightarrow \text{Si}_2 + \text{H}_2$. By using glass substrate and preliminary substrate processing by nitrogen plasma there are many particles of $(\text{SiO})_x$ and the roughness of substrate increases [4]. The nucleation process is critical to the density of precipitate $(\text{SiO})_x$ and depends on the preliminary processing of substrate. Because, the nucleifor crystal growth are appeared by the following reactions: $\text{Si-O-Si-O} \rightarrow \text{Si} + \text{SiO}_2$. By the way of hydrogenation of silicon along with etching the nanocrystals and oxides by silicon fluorides, and removing molecular assemblies during the film growth I prepared the films with high performance.

2. Silicon Films Preparation

2.1. Chemical Processes During Silicon Films Preparation

I used low temperature conditions and high pressure of gas mixture to prepare the thin silicon film according to atomic layer deposition technological methods. However, the rate deposition was low, less than 5 nm/min. The chemical reactions on silicon surface by low temperature annealing (300 °C) are following:



It is seen from spectroscopic data, that by low temperature conditions many molecular configurations are stable. The silane molecule dissociates and SiH or SiH₂ fragments are chemisorbed on substrate. The dissociation of SiF₄ molecule causes the appearance of fluorine on the film surface, but the hydrogen atoms react with fluorine and excess fluorine is removed. However, by increase of SiF₄ flow rate, the SiF and SiF₂ bonding are incorporated in silicon net on the film's surface. The hydrogen is incorporated in fluorinated silicon net by low temperature (120°C) and reacts with oxygen to produce the H₄SiO₄ assembly. By increasing in temperature of deposition the molecular creation and dissociation rates increase. The ortho-silicon acid H₄SiO₄ dissociation into

several molecular fragments with various quantities of hydrogen and oxygen atoms. The same situation is occurred for the silicon hydrogen fluorine acid, H₂SiF₆. In addition, it is necessary to note that the $(\text{SiO}_2)_x$ polymeric configuration, as gel, are appeared in silicon net. It is easily transformed into SiO_x material for nanoscale sizes.

2.2. Structural and Chemical Properties of Films

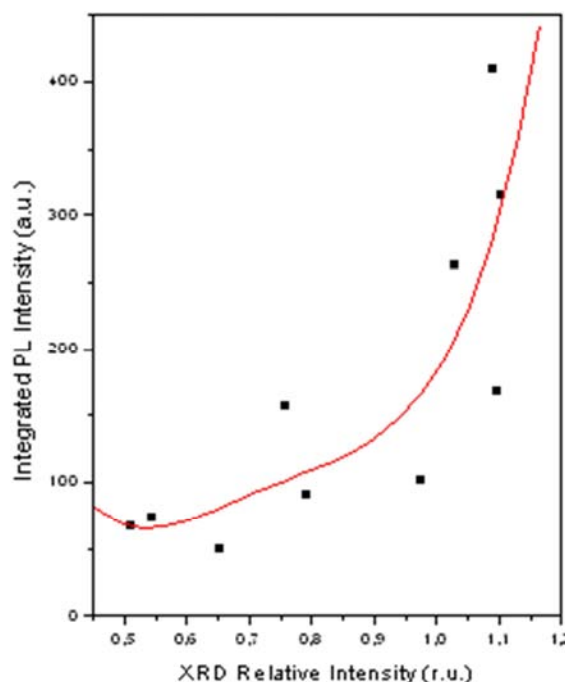


Figure 1. Nonlinear relation between the PL integrated intensity and XRD relative intensity of signal by the angle of diffraction 28 ° for silicon nanocrystalline film.

Correlation between photoluminescence (PL) Integrated Intensity and XRD relative intensity of signal by the angle of diffraction 28 ° for silicon nanocrystalline film is illustrated in the Figure 1. There is a nonlinear relation between both PL data and XRD data. It is assumed, that the expression for the intensity of PL can be written as

$$I_{PL}(\delta) \propto \frac{A}{\delta^2} = AB^2; \quad (2)$$

but the triangular approximation for XRD integral intensity show accordingly by means of Scherer formula that

$$I_{XRD} \propto \frac{H \cdot C}{\delta} = HB. \quad (3)$$

H is a magnitude of XRD peak. It is found that the PL peak energy changes in a strong correlation with the $\langle\delta\rangle$ value. In addition to such a size effect on the PL response, the energy band gap may increase as the films are hydrogenated. Furthermore, the hydrogenation is also expected to terminate Si dangling bonds which act as non-radiative recombination centers. Spectral characteristics of Gauss-distributed oscillators with their eigen-frequencies. Integrated PL Intensity can be described by using following way

$$I_{\Sigma PL} = \int_0^{\infty} I(w)dw; \quad (4)$$

is the integral intensity of PL nanocrystals. For amount of nanocrystals emitted light with fixed frequency we can write,

$$N(w) = \int_0^{\infty} f(\delta) \vartheta(\delta) \exp(-i w \delta) d\delta, \quad (5)$$

and the intensity of radiation from nanocrystals with definite size is following

$$I(\delta) = \frac{1}{2\pi} \int_0^{\infty} N(w) \exp(i w \delta) dw. \quad (6)$$

It is studied the relationship between the optical and structural properties of poly-Si films prepared at low temperature PECVD. The experimental investigations by Raman scattering spectroscopy of silicon films were carried on by means of spectroscopic technique JASCO NRS-1000 (Japan). The correlation among the PL response, structural and other optical properties (Raman scattering and IR absorption) of poly-Si films with nanocrystals was studied changing the of hydrogen flow rate (from 10 sccm to 46 sccm) under $[\text{SiF}_4/\text{He}] = 2.5$ sccm. It is shown in Figure 2 that there is a strong correlation among the PL intensity, Raman intensity, and the average grain size of crystallites and density of hydrogen bonds for films with temperature at 300°C . The average grain size of crystallites with preferential (111) or (220) orientations decreases by increasing the hydrogen flow rate. Furthermore, the absorption properties and the density of Si-H and Si-F related bonds were investigated by varying the H_2 and SiF_4 flow rates. As stated above, the PL spectra may be controlled by the shape of the size distribution. In this case, if we suppose the state-to-state spontaneous transition probability, the PL intensity $I(E)$ may be expressed as

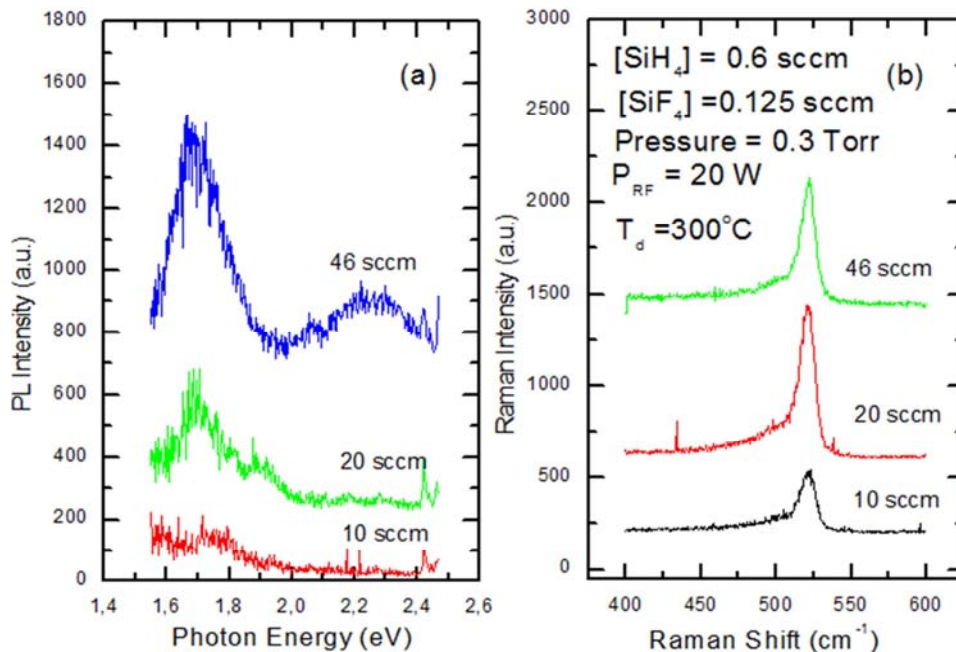
$$I(E) \sim W_{ij} f(\delta), \quad (7)$$

where $W_{ij} = \frac{4e^2 E n}{3m^2 h^2 c^3} |\langle i|p|j \rangle|^2$, e and m are the charge and mass of electron, respectively, E is the transition energy, p is the transition dipole momentum operator, n is the refractive index, and

$$f(\delta) = \frac{1}{2\pi\sigma} \exp\left(-\frac{1}{2} \left(\frac{\delta - \langle \delta \rangle}{\sigma}\right)^2\right) \quad (8)$$

is the Gaussian distribution of grain sizes, σ is the standard deviation.

Figure 2 shows the PL spectrum of nc-Si film in the energy range from 1.5 eV to 2.5 eV. The spectrum consists of high energy part with smooth peak around 2.2-2.4 eV, and low energy part with sharp peak around 1.8 eV. The very sharp peaks in the higher energetic part correspond to the molecular spectra of oxygen on the silicon surface. The low energy spectral peak can be divided into two closed peaks. The first spectral peak with maximum around 1.80 eV is an optical response of a-Si:H interface media, but the second- around 1.85 eV is due to the band-to-band transitions from the tail of conductive band of silicon nanocrystals. The smooth peak around 2.2-2.4 eV is caused by the optical transitions from the defect levels made by oxygen incorporation. We suppose that there is oxygen-related defect levels inside band gap with energy position by direct optical transition in silicon E-2.2 eV, where $E = 3.37$ -3.4 eV for point Γ of the Brillouin zone. In this case we assume the two photon optical transition by PL process with photon energies equal to 2.2 eV and 1.2 eV, respectively. The Γ -X indirect transitions are possible, too. Figure 3 illustrates the FT IR absorption spectra for these three films which other spectral characteristics were shown in Figure 2. There are spectral lines around 1100 cm^{-1} that correspond of Si-O-Si bridges.



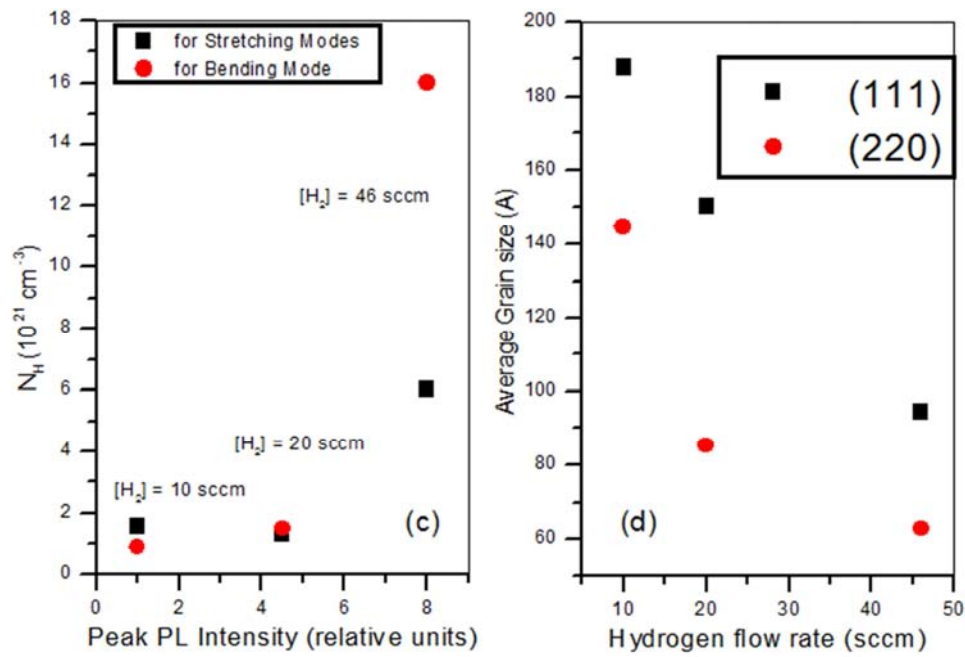


Figure 2. PL and Raman spectra, concentration of hydrogen bonds, and average grain size (estimated from XRD data) for polycrystalline silicon films.

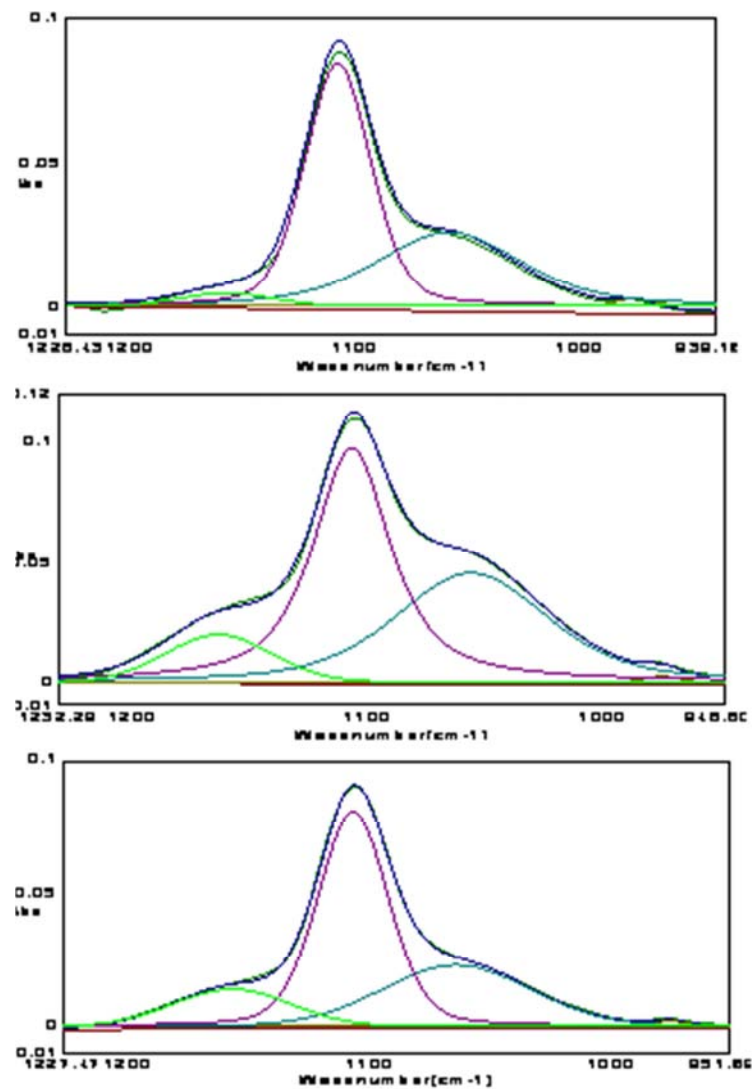


Figure 3. Fourier-Transformed Infrared spectroscopy data for silicon films.

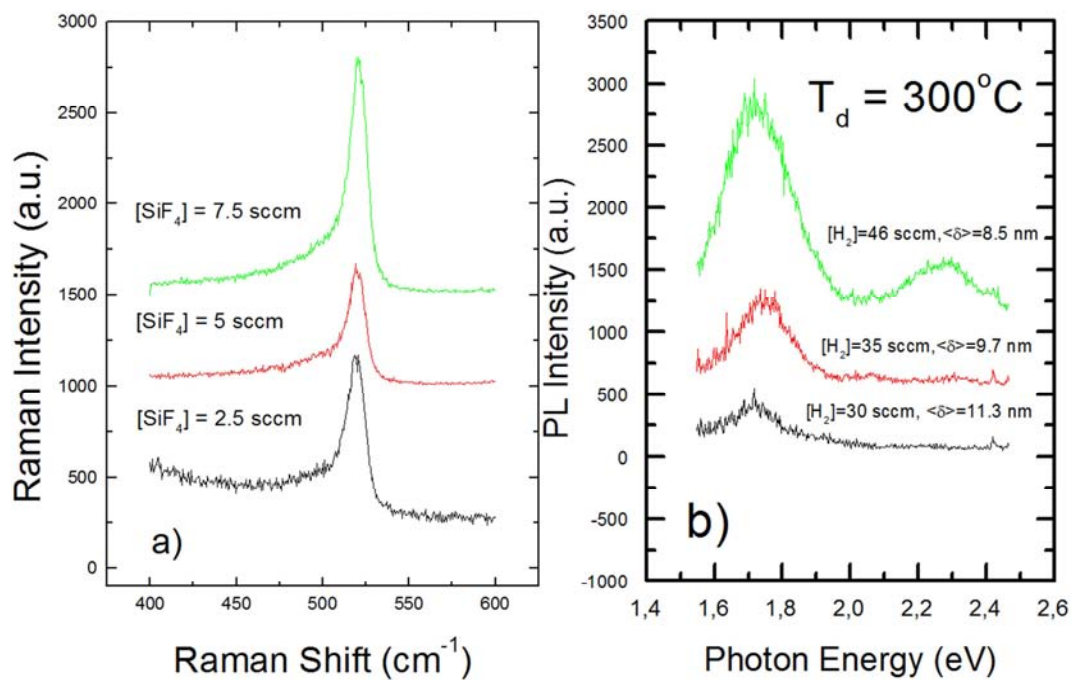


Figure 4. Raman (a) and PL (b) spectra for fluorinated nanocrystalline silicon films.

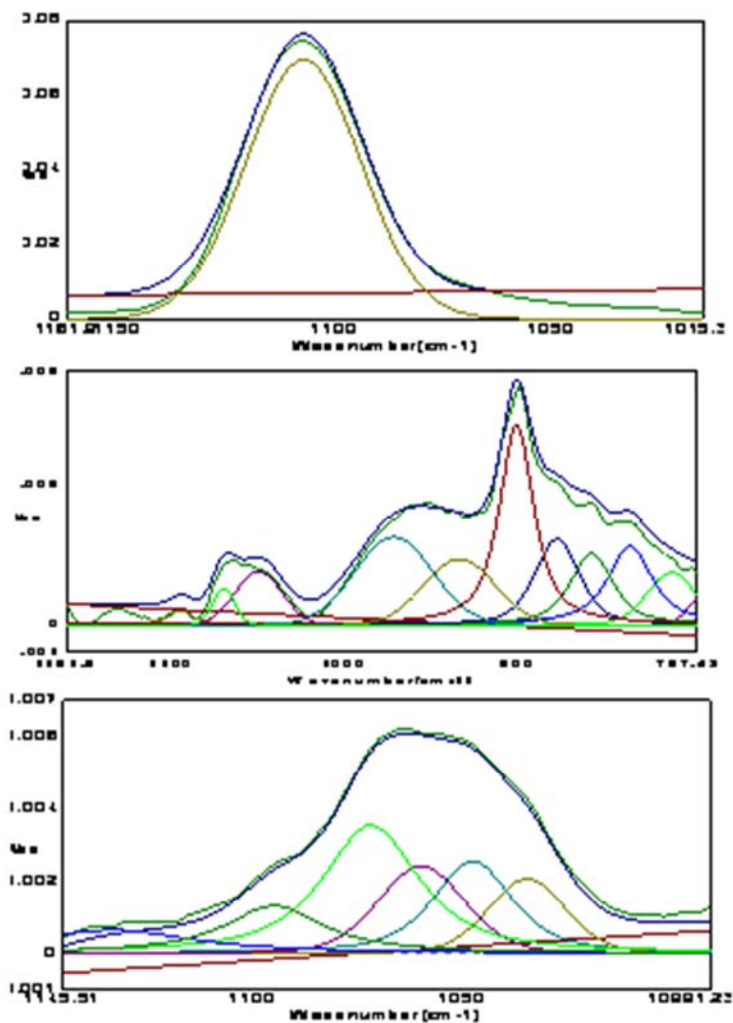


Figure 5. Fourier-Transformed Infrared spectroscopy data for fluorinated silicon films.

For the PL intensity we can use the formula (6)

$$I \approx \frac{1}{2\alpha} I_L W_{ij} \rho D^2 N_{nc-St}; \quad (9)$$

Where α is coefficient of absorption of silicon ($3 \times 10^4 \text{ cm}^{-1}$), D is diameter of laser beam (10^{-2} cm^2), ρ is a crystalline volume fraction (around 0.7).

Figure 4 shows the Raman and PL spectra for the nanocrystalline silicon films prepared by using silicon tetra fluoride gas. It is clear, that there is strong spectral peak at the 1.6-1.8 eV the magnitude of which increases by increasing of films hydrogenation. Figure 5 shows the FT IR absorption spectra which related to the spectral data in Figure 4b, respectively. It is seen, that the silicon oxides are disappear but silicon fluorides are creates by fluorination of films.

3. Atomic-Force Microscopic Photos of Silicon Films and Film Morphology

Atomic-force microscopic (AFM) measurements were carried on by means of Scientific Park Instruments (USA) technique. Surface roughness can be evaluated by means of average roughness parameter (or mean surface level) and root means square (RMS) parameter (see Figure 6). Both parameters can be calculated from integral over length

$$R = \frac{1}{L} \int_0^L |r(x)| dx;$$

$$RMS = \sqrt{\frac{1}{L} \int_0^L r^2(x) dx}.$$

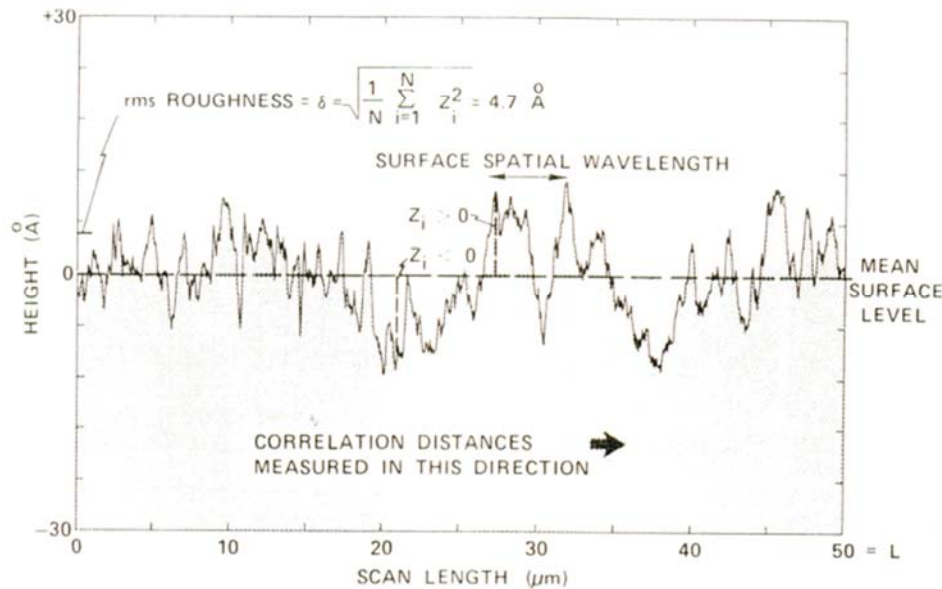


Figure 6. Roughness parameters for surface of film [5].

The typical values for nanocrystalline films deposited by using different facility are shown in the Table 1. It is seen, that the RMS parameters for films deposited by using APEX facility are in the range of 2 to 5 nm [5], and from 1nm to 7 nm for ordinary CVD reactor. However, the film structural parameters such as thickness of film, spatial homogeneity of crystallinity, the density of point defects can be significant differ from each other. It is dramatic to produce the semiconductor devices with high quality.

It is seen in Table 1, that the R and RMS parameters are less than 4.5 nm and 3.5 nm, respectively for the films which were analyzed by AFM method.

Table 1. Roughness parameters for surface of nanocrystalline silicon film.

Line	Height Å	Distance, μm	Rms, Å	Average, Å
A	43.1	0.651	32.2	21.8
B	11.5	0.651	43.1	33.5
C	23.9	0.651	25.3	19.4
D	71.8	0.651	30.2	22.8
E	45.0	0.651	26.9	20.4

Line	Height Å	Distance, μm	Rms, Å	Average, Å
F	18.2	0.651	21.6	16.8
G	7.66	0.651	32.7	26.2

Figure 7 illustrates the Atomic-force spectroscopic data for the silicon films the spectroscopic data of which were shown in Figure 4b. It is seen, that the increasing of hydrogen concentration in film with its fluorination results in structural changes from nanocrystallites (see Figure 7a and Figure 7b) to monocrystal structures as it is seen in Figure 7c.

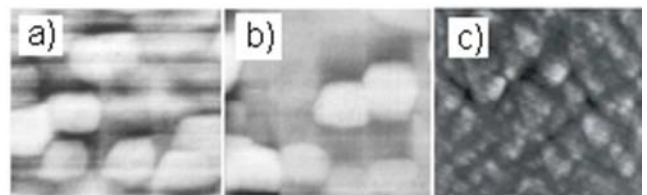


Figure 7. Atomic-force spectroscopic data for the silicon films the spectroscopic data of which were shown in Figure 4.

Silicon crystalline film with orientation (111) was prepared at the first time on glass substrate by using the plasma-enhanced chemical vapor deposition as it is seen in Figure 7c. The structural changes were controlled by using the etching and growing processes at the low temperature. The surface/volume ratio was varied from 0.01 1/Å to 0.041/Å. The homogeneity of crystal phase was also tested by using Raman mapping measurements and nonlinear laser spectroscopy [6]. The concentration of hydrogen was 4%, according to the FT IR data.

The surface roughness and morphology parameters are comparable to the morphology parameters (RMS is varied from 2 nm to 4 nm) of thin (thickness less than 100 nm) amorphous silicon films with nanocrystals (average grain size less than 3 nm, crystalline volume fraction is lower than 20%) deposited by using APEX facility by means of silane gas [flow rate in range of 20 to 100 sccm] diluted by hydrogen [which is varied from 2000 sccm to 8000 sccm] with great values of RF power (from 400 W to 600 W) and the same temperature of deposition [7] and show the better results than these.

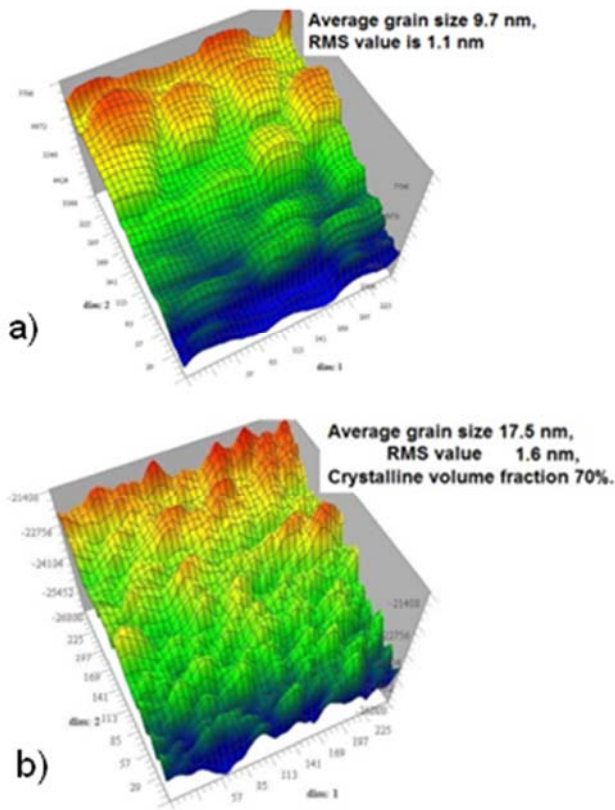


Figure 8. Atomic-force microscopic data to estimate the RMS values and other morphological parameters for nanocrystalline films with determined average grain sizes according to the XRD data prepared by: low (a) and high (b) SiF_4 flow rates in electrochemical reactor.

Figure 8 illustrates the changes in morphology of films by their significant fluorination. It is seen in Figure 8a that the silicon nanocrystal grains have the rectangular shape and great area of Si(111) crystal orientation. By adding the silicon tetra fluoride in electrochemical reactor the etching of

nanocrystals by the temperature of substrate 300 °C is significant and there are numerous sharp hills on the film surface.

4. Discussion

We observed the dependence of PL peak energy and integrated PL intensity on the sizes of crystallites for films prepared by changing the deposition conditions. The PL response of poly-Si films is results of the presence of nanocrystallites and quantum confinement effects in them. The luminescent properties of films are likely to depend on the size distribution of crystallites, along with an effect of hydrogen termination on the surface of the crystallites.

The amount of nanocrystals is proportional to the area limited by the two curves in Figure 9 and can be written as [8]

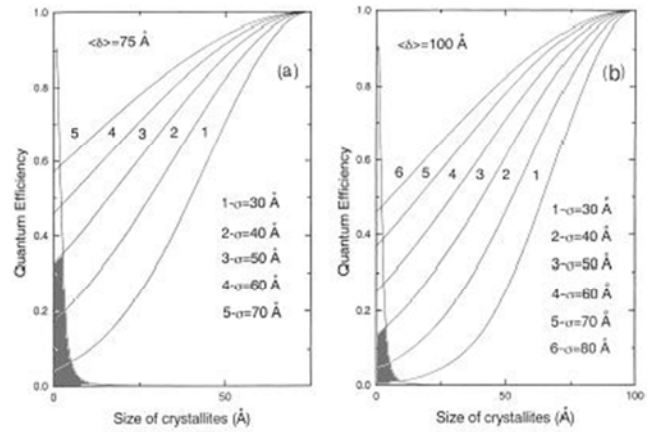


Figure 9. Quantum emission function and various size distribution functions for the determination the number of nanocrystals which are responsible for PL.

$$N_{nc-Si} = \frac{1}{\sqrt{2\pi}\sigma} \int_0^{x_c} \exp\left(-\frac{1}{2} \frac{(x-\mu)^2}{\sigma^2}\right) dx + \int_{x_c}^{\infty} \frac{dx}{1+\gamma x^3}; \quad (10)$$

Where x_c is a critical nanocrystal size value that can be found by using the graphics in Figure 9 by the following way

$$\frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{1}{2} \frac{(x-\mu)^2}{\sigma^2}\right) = \frac{1}{1+\gamma x^3}; \quad (11)$$

where the x_c is a root of equation. By the simple way to decay the exponential function this equation can be transformed into the form:

$$Ax_c^3 + Bx_c^2 + Cx_c + D = 0. \quad (12)$$

It is supposed, that the large quantity of nanocrystals is Gauss distributed in their sizes. PL peak position for silicon films can be estimated by using experimental data

$$E_{PL\max} - E_{bg} = \frac{A}{\langle \delta \rangle^{1/3}}. \quad \text{The nanocrystals with lowest}$$

sizes result in most efficient PL. Accordingly, the quantity of emitted hydrogenated nanocrystals by band-to-band radiative transitions is important to estimate the PL linear optical response [9]. The surface morphology of film play great role only in Rayleigh scattering phenomena, but for the Raman and PL emission can be neglected, because in these cases there is a great role of dominant volume effect. The hydrogenation of silicon nanocrystals for these films is most important by the PL emission, despite the role of oxide related point defects [10].

In conclusion, I studied the photoluminescence of silicon nanocrystalline hydrogenated films, their chemical and structural properties. Technological investigations show the possibility the manufacturing of fully crystallized silicon film deposited on glass substrate. It is possible due to the combination of low temperature deposition conditions, film etching by silicon fluorides during the deposition, hydrogenation of film. It is established that PL depends on mainly crystalline silicon fraction. Mechanism of PL emission by small silicon nanocrystals was proposed.

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